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Docket No. 1359.1023/HJS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Shoji HAYAKAWA et al.

Group Art Unit:

Serial No.:

Examiner:

Filed: January 19, 2000

For: SPEAKER VERIFICATIN APPARATUS AND METHOD

JC675 U.S. PTO  
09/487467  
01/19/00

**SUBMISSION OF CERTIFIED COPY OF PRIOR  
FOREIGN APPLICATION IN ACCORDANCE WITH  
THE REQUIREMENTS OF 37 C.F.R. § 1.55**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.55, the applicant(s) submit(s)  
herewith a certified copy of the following foreign application(s):


Japanese Patent Application No. 11-94734  
Filed: April 1, 1999

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing  
date, as evidenced by the certified papers attached hereto, in accordance with the requirements  
of 35 U.S.C. § 119.

Respectfully submitted,  
STAAS & HALSEY LLP

Date: January 19, 2000

By: \_\_\_\_\_

  
H. J. Staas  
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01/19/00

S&amp;H Form: PTO/SB/05 (12/97)

|   |  |
|---|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. 1359.1023  |
|   | First Named Inventor or Application Identifier:<br><br>Shoji HAYAKAWA et al. |
|   | Express Mail Label No.   |

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapter 600 concerning utility patent application contents.</i> | <b>ADDRESS TO: Assistant Commissioner for Patents</b><br><b>Box Patent Application</b><br><b>Washington, DC 20231</b> |
|--|---|

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 23 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 12 ]
4. ☒ Oath or Declaration ..... [ Total Pages: 3 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application, status still proper and desired.
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

#### 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No:        /       

#### 18. CORRESPONDENCE ADDRESS

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 09/487467  
 01/19/00

# NEW APPLICATION FEE TRANSMITTAL

Attorney Docket No. 1359.1023

Application Number

Filing Date

January 19, 2000

AMOUNT ENCLOSED

\$ 730.00

First Named Inventor

Shoji HAYAKAWA et al.

## FEE CALCULATION (fees effective 10/01/97)

| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE     | (5) CALCULATIONS |
|--------|--|------------------|------------------|--------------|------------------|
|        | TOTAL CLAIMS   | 6 - 20 =         | 0                | X \$ 18.00 = | \$ 0.00          |
|        | INDEPENDENT CLAIMS   | 3 - 3 =          | 0                | X \$ 78.00 = | 0.00             |
|        | MULTIPLE DEPENDENT CLAIMS (any number; if applicable)                            |                  |                  | + \$240.00 = | 0.00             |
|        | <b>BASIC FILING FEE</b>  |                  |                  |              | 690.00           |
|        | Total of above Calculations =  |                  |                  |              | \$ 690.00        |
|        | Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)         |                  |                  |              | + 0.00           |
|        | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).           |                  |                  |              | - 0.00           |
|        | <b>TOTAL FILING FEE =</b>  |                  |                  |              | \$ 690.00        |
|        | Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) |                  |                  |              | + 0.00           |
|        | Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))                           |                  |                  |              | + 40.00          |
|        | <b>TOTAL FEES DUE =</b>  |                  |                  |              | \$ 730.00        |

## METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time.

## GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

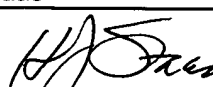
19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

**SUBMITTED BY: STAAS & HALSEY LLP**

|            |   |          |                  |
|------------|---|----------|------------------|
| Typed Name | H. J. Staas   | Reg. No. | 22,010           |
| Signature  |  | Date     | January 19, 2000 |